

OFGS FILE NO. P/3331-132

**UNITED STATES OF AMERICA**  
**COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**CONVERSATIONAL DEALING IN AN ANONYMOUS TRADING SYSTEM**

O I P E  
 DEC 26 2000  
 PATENT & TRADEMARK OFFICE

the specification of which is attached hereto, unless the following box is checked:

☒ was filed on June 23, 2000 as United States patent Application Number or PCT International patent application number 09/603,390 and was amended on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by amendment referred to above.

I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign or Provisional Application(s)		DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
COUNTRY	APPLICATION NUMBER		YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Weiner - Reg. No. 18,510; Jerome M. Berliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Mellman - Reg. No. 24,735; Stanley H. Lieberstein - Reg. No. 22,400; Steven I. Weisburd - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Fiander - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,625 and Douglas A. Miro - Reg. No. 31,643, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO: **OSTROLENK, FABER, GERB & SOFFEN, LLP** DIRECT TELEPHONE CALLS TO:  
 1180 AVENUE OF THE AMERICAS (212) 382-0700  
 NEW YORK, NEW YORK 10036-8403  
 CUSTOMER NO. 2352

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR <b>August M. HOCHENBERGER</b>		INVENTOR'S SIGNATURE <i>[Signature]</i>	DATE <b>10/16/00</b>
RESIDENCE (City and either State or Foreign Country) <b>East Islip, New York</b>		COUNTRY OF CITIZENSHIP <b>United States</b>	
POST OFFICE ADDRESS <b>204 Bellmore Avenue, East Islip, New York 11730</b>			
FULL NAME OF SECOND JOINT INVENTOR (IF ANY) <b>Edward R. HOWORKA</b>		INVENTOR'S SIGNATURE <i>[Signature]</i>	DATE <b>Oct 13 2000</b>
RESIDENCE (City and either State or Foreign Country) <b>Morris Plains, New Jersey</b>		COUNTRY OF CITIZENSHIP <b>United States POLAND CRH</b>	
POST OFFICE ADDRESS <b>2043 Gates Court, Morris Plains, New Jersey 07950</b>			
FULL NAME OF THIRD JOINT INVENTOR (IF ANY) <b>Neena JAIN</b>		INVENTOR'S SIGNATURE <i>[Signature]</i>	DATE <b>Oct 13, 2000</b>
RESIDENCE (City and either State or Foreign Country) <b>South Plainfield, New Jersey</b>		COUNTRY OF CITIZENSHIP <b>United States</b>	
POST OFFICE ADDRESS <b>3756 Victory Street, South Plainfield, New Jersey 07080</b>			

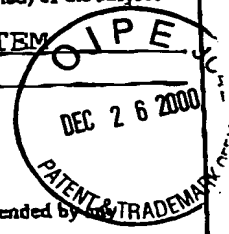


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**CONVERSATIONAL DEALING IN AN ANONYMOUS TRADING SYSTEM**



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Prior Foreign or Provisional Application(s)

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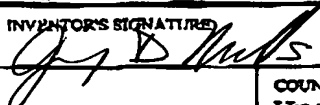
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FULL NAME OF SOLE OR FIRST INVENTOR <b>August M. HOCHENBERGER</b>		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) <b>East Islip, New York</b>		COUNTRY OF CITIZENSHIP <b>United States</b>	
POST OFFICE ADDRESS <b>204 Bellmore Avenue, East Islip, New York 11730</b>			
FULL NAME OF SECOND JOINT INVENTOR (if any) <b>Edward R. HOWORKA</b>		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) <b>Morris Plains, New Jersey</b>		COUNTRY OF CITIZENSHIP <b>United States</b>	
POST OFFICE ADDRESS <b>2043 Gates Court, Morris Plains, New Jersey 07950</b>			
FULL NAME OF THIRD JOINT INVENTOR (if any) <b>Neena JAIN</b>		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) <b>South Plainfield, New Jersey</b>		COUNTRY OF CITIZENSHIP <b>United States</b>	
POST OFFICE ADDRESS <b>3756 Victory Street, South Plainfield, New Jersey 07080</b>			

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FULL NAME OF FOURTH JOINT INVENTOR, IF ANY John C. GAUDIO		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Middletown, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 22 Buttonwood Road, Middletown, New Jersey 07748			
FULL NAME OF FIFTH JOINT INVENTOR, IF ANY John R. CAPUANO		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Chatham, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 11 Hedges Avenue, Chatham, New Jersey 07928			
FULL NAME OF SIXTH JOINT INVENTOR, IF ANY Gregory D. MILLS		INVENTOR'S SIGNATURE 	DATE 21 Nov 2000
RESIDENCE (City and either State or Foreign Country) Flanders, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 24 Carlton Road, Flanders, New Jersey 07836			
FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY Srivathsan KRISHNASAMI		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) New York, New York		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 528 East 79 <sup>th</sup> Street, Apt. 6D, New York, New York 10021			

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